Call to Order

Welcome by the Chair. The meeting was called to order by Chairman Kristey Williams at 10:03 a.m. in Room 546-S of the Statehouse. Committee members present:

<table>
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<th>Rep. Kristey Williams, Chairman</th>
<th>Sen. Caryn Tyson, Vice-Chair</th>
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<td>Rep. Tom Burroughs</td>
<td>Sen. Rob Olson</td>
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<td>Rep. John Toplikar</td>
<td>Sen. Mary Ware</td>
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LPA Operations

Data Mining Request - Matt Etzel, Principal Auditor explained the request to expand data mining to include TANF and SNAP data in 2022. After discussion, Senator Tyson made a motion to approve the request. Representative Barker seconded the motion; motion carried.

Audit Recommendation Report – Kristen Rottinghaus, Performance Audit Manager summarized the most recent recommendation follow-up report and answered committee questions. She noted that 3 counties had not responded to our request for information regarding the status of the recommendations LPA made to them. Representative Barker made a motion to allow the LPAC Chairman to send a letter to those counties requesting the needed information. Senator Olson seconded the motion, motion carried.

Annual IT Security Plan – Katrin Osterhaus, IT Audit Manager presented the annual IT security plan with recommended agencies to be reviewed in 2022. Representative Burroughs made a motion to approve the plan. Senator Olson seconded the motion; motion carried.

Annual IT Monitoring Plan – Katrin Osterhaus, IT Audit Manager presented the
annual IT project monitoring plan for 2022. That plan would continue the monitoring of the KBI fingerprint system. Senator Tyson made a motion to approve the plan. Representative Gartner seconded the motion; motion carried.

**Planned Legislative Report Meetings** – Justin Stowe, Legislative Post Auditor discussed how the division plans to comply with Committee Rule 1-5(3)(f). That includes which committees staff intend to meet with regarding recent audits. The committee recommended slight edits to the planned meeting list.

**Consent Calendar**

Chris Clarke, Deputy Post Auditor, reviewed the consent calendar. The consent calendar included approval of the October meeting minutes, acceptance of the limited-scope audit proposal regarding social workers in K-12 schools, and designation of audits that satisfy the KGOAL law. There were no objections, so the items were approved.

**Executive Session – Security Audit**

Individuals allowed to remain in the executive session for the IT security report of the Department of Agriculture were:

**Legislative Post Audit**
- Justin Stowe, Legislative Post Auditor
- Chris Clarke, Deputy Post Auditor
- Katrin Osterhaus, IT Audit Manager
- Alex Gard, Principal IT Auditor

**Department of Agriculture**
- Kelsey Olson, Deputy Secretary**
- Shawn Lane, Chief Information Officer**
- Jeff Maxon, Kansas’s Chief Information Security Officer

**because the meeting was ahead of schedule, the these staff were not present at the time of the executive session

Senator Tyson made the following motion:

I move to recess this open meeting for a closed meeting pursuant to K.S.A. 75-4319(a) to discuss matters relating to security measures that protect the information systems of the Kansas Department of Agriculture under the justification listed in K.S.A. 75-4319(b)(12)(C) because discussion of such
matters in an open meeting would jeopardize such security measures; to resume the open meeting in Room 546-S at 11:06 a.m.; and to record this motion, if adopted, as a part of the committee’s permanent records.

Representative Toplikar seconded the motion, motion carried.

Because of their confidential nature, copies of IT security audits are not distributed to any committees. Staff previously notified the Joint Committee on Information Technology and the Joint Committee on Kansas Security that these IT audits have been completed and can be presented in executive session.

The open meeting resumed at 11:06 a.m.

**Executive Session – Post Auditor Succession**

Justin identified himself and Chris Clarke, Deputy Post Auditor as the individuals who would be in the room as part of the executive session to discuss the Post Auditor’s succession.

Senator Tyson made the following motion:

> I move to recess this open meeting for a closed meeting pursuant to K.S.A. 75-4319(a) to discuss matters relating to the departure of the Post Auditor, including recommendations for an interim or permanent successor, under the justification listed in K.S.A. 75-4319(b)(1) because this constitutes a need to discuss a personnel matter of nonelected personnel; to resume the open meeting in Room 546-S at 11:28 a.m., and to record this motion, if adopted, in the minutes as a part of the committee’s permanent records.

Representative Barker seconded the motion, motion carried.

The open meeting resumed at 11:28 a.m.

**Post Auditor Succession** – Senator Tyson made a motion to appoint Chris Clarke as Post Auditor at the salary of the current Post Auditor effective upon Justin Stowe’s departure. Representative Gartner seconded the motion. Motion carried.

**Executive Session - Department of Agriculture IT Security Audit resumption:**

The executive session was renewed to allow input from Department of Agriculture staff, listed above. Senator Tyson made the following motion:
I move to recess this open meeting for a closed meeting pursuant to K.S.A. 75-4319(a) to discuss matters relating to security measures that protect the information systems of the Kansas Department of Agriculture under the justification listed in K.S.A. 75-4319(b)(12)(C) because discussion of such matters in an open meeting would jeopardize such security measures; to resume the open meeting in Room 546-S at 11:45 a.m.; and to record this motion, if adopted, as a part of the committee’s permanent records.

Representative Toplikar seconded, motion carried.

The open meeting resumed at 11:45 a.m.

Additional Business

Chris Clarke, Deputy Post Auditor noted she will work with the Chairman to set the committee’s next meeting date, likely Wednesday, January 12 at noon. This meeting will have two statutorily required items – an audit on taxation and exemption issues affecting government and private businesses, and brief presentation from Department of Commerce about their annual highlights. This meeting will also be the Legislative Post Audit Committee re-organization meeting with members selecting a new Chair, Vice-chair and executive committee member.

Adjournment

The Committee thanked Justin for his service and extended best wishes as he pursues his new position in Washington. The meeting adjourned at 11:51 a.m.

____________________________________________________
Nicole Blanchett, Secretary    Date
Legislative Post Audit Committee

All handouts and other documents referred to in these minutes are on file with Legislative Post Audit. Unless specifically noted, the individual remarks recorded herein have not been transcribed verbatim and have not been submitted to the individuals appearing before the committee for editing or corrections.